



# Influence of anthropogenic greenhouse gases on the propensity for nocturnal cold-air drainage

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## Abstract

Cold-air drainage and pooling can have wide-ranging impacts, including affecting ecosystem processes and agricultural crops, and contributing to decreased air quality associated with temperature inversions. Future climate changes may alter both the frequency and intensity of cold-air drainage. This study estimates the response of nocturnal cold-air drainage to warming resulting from anthropogenic greenhouse gases, specifically CO<sub>2</sub>, considering radiative and thermodynamic effects but not changes in background air flow (dynamic effects). A simple index is proposed to represent the propensity for clear-sky nocturnal cold-air drainage as a function of air temperature and humidity near dusk. Decreases in this index with increasing atmospheric emissivity due to increasing anthropogenic greenhouse gas concentrations imply a weakening of cold-air drainage. The magnitude of the decrease in the index is positively related to the initial background temperature and humidity: Warm regions are more sensitive than cold regions, and humid regions are more sensitive than dry regions, implying that warm and/or humid regions are more at risk of decreases in cold-air drainage. Under atmospheric CO<sub>2</sub> concentrations consistent with Representative Concentration Pathway (RCP) 8.5, the magnitude of decrease in the index indicates that nocturnal cold-air drainage intensity may decline by at least 10% by 2100 CE (compared to 1979–1990) with larger decreases in warm and humid regimes. The index should be tested with intentionally designed field or lab experiments, and the relative effects on cold-air drainage of changes in radiative, sensible, and latent heat fluxes, and atmospheric circulation, should be compared.

## 1 Introduction

Cold-air drainage down hillslopes and the resulting cold-air pooling in valleys and depressions are common meteorological phenomena (Whiteman et al. 2004; Vitasse et al. 2017; Jemmett-Smith et al. 2018; Miró et al. 2018; Kelsey et al. 2019; Joly and Richard 2019). Cold-air drainage develops when a net positive upward radiative flux at the surface

initiates cooling of near-surface air on a sloping surface. If this cooling air consequently achieves a density greater than that of the air farther from the surface but at similar altitude, the near-surface air will move downslope. Warmer air replaces the displaced air, subsequently cools, and itself drains. The draining air may continue downslope until it reaches a surface barrier or constriction, it may accumulate above previously drained air, forming a cold-air pool (Marvin 1914; Burns and Chemel 2014) or the drainage may be interrupted by local dynamical processes related to the draining flow itself (e.g., Jemmett-Smith et al. 2019). Cold-air drainage and pooling is driven by many factors, including local radiative, sensible and latent heat fluxes, surface roughness, canopy cover, and synoptic-scale atmospheric circulation that drives local winds, vapor transport, cloud cover, and precipitation (e.g., Pepin et al. 2011). Clear skies and the absence of solar radiation can result in the radiative conditions necessary to produce cold-air drainage, while other favorable meteorological factors include light winds and a stable atmosphere (Barr and Orgill 1989; Daly et al. 2010; Jemmett-Smith et al. 2018).

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Cold-air drainage and pooling affects carbon fluxes (Pypker et al. 2007; Novick et al. 2016), snow and ice melt (Whiteman et al. 2001), and habitat for flora and fauna (Dobrowski 2011; Lenoir et al. 2017). Persistent cold-air pools (e.g., lasting at least one diurnal cycle) can lead to poor air quality and to hazards associated with fog and freezing rain (e.g., see review in Reeves and Stensrud 2009). Despite the importance of cold-air drainage to biological and physical processes, little has been published on how climate change might affect cold-air drainage. Daly et al. (2010) considered how climate warming together with an increase in the synoptic-scale atmospheric conditions positively associated with cold-air drainage could affect spatial patterns of temperature distribution across a small mountain basin. However, Daly et al. (2010) did not address whether warming driven by anthropogenic greenhouse gases (AGHGs) would actually increase, or decrease, such synoptic-scale atmospheric conditions. Ji et al. (2019) found a strengthening of simulated near-surface inversions over southeast Australia under projected global warming, but did not isolate the role that changes in cold-air drainage had on inversions. Much uncertainty remains in how factors that influence cold-air drainage, particularly synoptic-scale atmospheric dynamics, will respond to AGHGs at a given location (Collins et al. 2013).

To our knowledge, no studies have examined how AGHGs might directly affect the frequency or magnitude of cold-air drainage. To assess the potential sensitivity of cold-air drainage to changing AGHG concentrations, we develop an index of cold-air drainage propensity and apply the index to estimate possible responses of cold-air drainage to AGHG changes under various conditions of moisture and temperature. Our theoretical analysis considers only the direct radiative effects on cold-air drainage from AGHG-driven background warming.

## 2 Methods

### 2.1 Deriving a simple radiation-based index for cold-air drainage propensity

We consider only clear-sky conditions because clear skies strongly promote the development of nocturnal cold-air drainage (Barr and Orgill 1989) and this assumption simplifies the radiative balance. Under clear skies, the long-wave downwelling radiative flux  $R_{LD}$  ( $\text{W m}^{-2}$ ) at the surface is often approximated by

$$R_{LD} = \epsilon \sigma T_s^4 \quad (1)$$

where  $\epsilon$  is effective atmospheric emissivity (e.g., Brutsaert 1975),  $T_s$  is the air temperature measured near the ground

surface (though not immediately above it, a distinction whose importance will be made clearer in Sect. 2.2), and  $\sigma$  is the Stefan-Boltzmann constant ( $5.6703\text{E-}8 \text{ W m}^{-2} \text{ K}^{-4}$ ). The long-wave upwelling radiative flux  $R_{LU}$  ( $\text{W m}^{-2}$ ) can be similarly calculated from the surface emissivity  $\epsilon_{surf}$  (Wilber et al. 1999) and surface temperature  $T_{surf}$  by

$$R_{LU} = \epsilon_{surf} \sigma T_{surf}^4 \quad (2)$$

At local dusk, defined as time  $t=0$ , or the moment when the short-wave downwelling (and upwelling) radiative flux at the surface becomes negligible, there is normally an imbalance between the downwelling long-wave and the upwelling long-wave radiative fluxes at the surface, such that  $R_{LU} > R_{LD}$ .

We suggest that an index of the potential, or propensity, for cold-air drainage to develop at night can be derived from the difference between the air temperature at dusk ( $T_{s,0}$ ) and what  $T_{surf}$  would need to be to instantaneously achieve long-wave balance:  $R_{LU} = R_{LD}$ . The hypothetical surface temperature required to instantaneously achieve radiative equilibrium, which we call the *instantaneous equilibrium surface temperature at  $t=0$* ,  $T_{surf,eq}$ , is solved for by equating Eq. (1) and (2) and substituting  $T_{surf,eq}$  for  $T_{surf}$ :

$$T_{surf,eq} = \left( \frac{\epsilon}{\epsilon_{surf}} \right)^{1/4} T_{s,0} \quad (3)$$

Clearly, the surface temperature will not reach  $T_{surf,eq}$  under realistic transient nighttime conditions because  $R_{LD}$  will change through time and  $R_{LU}$  will respond to changes in sensible, latent, and ground heat fluxes as they adjust to achieve radiative balance.

Despite the preceding caveat,  $T_{surf,eq} \ll T_{s,0}$  implies high potential for the surface to cool to a temperature lower than the air temperature above it and therefore high potential for a thin layer of air to form immediately above the surface that is colder than the background air. Using, therefore, the difference  $T_{s,0} - T_{surf,eq}$  as a measure of the potential for cold-air drainage to develop, we propose the following dimensionless *simple radiation-based index for cold-air drainage propensity*,  $\psi$ :

$$\psi = [(T_{s,0} - T_{surf,eq})/T_{s,0}]^{1/2} \quad (4)$$

A high value of  $\psi$  implies a potential for the surface, and therefore the air immediately above it, to cool rapidly. Motivation for the exponent 1/2 is given in Sect. 2.2.

The index  $\psi$  can also be expressed in terms of potential temperature  $\theta$ , which is advantageous because potential temperature provides a more direct measure of the vertical stability of air at the ground surface with respect to air

at temperature  $T_{s,0}$  by bringing  $T_{s,0}$  to the surface pressure  $P_{surf,0}$  adiabatically. Replacing temperature with potential temperature in Eq. (4) yields

$$\psi = [(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}]^{1/2} \tag{5}$$

where

$$\theta_{s,0} = T_{s,0}(P_{surf,0}/P_{s,0})^{R_d/c_p} \tag{6}$$

where  $R_d$  is the gas constant for dry air ( $287.053 \text{ J kg}^{-1} \text{ K}^{-1}$ ),  $c_p$  is the specific heat of dry air ( $1000 \text{ J kg}^{-1} \text{ K}^{-1}$ ), and  $P_{s,0}$  is the pressure level (hPa) at  $T_{s,0}$ . Note that because  $T_{surf,eq}$  is not an air temperature, it does not make strict sense to express it with an equivalent potential temperature (see Online Resource Appendix S1).

Clearly, the index  $\psi$  is essentially a function of effective atmospheric emissivity if it is expressed in terms of the ratio of the effective atmospheric emissivity to the surface emissivity, which is shown by substituting Eq. (3) into Eq. (4) to yield

$$\psi = [1 - (\epsilon/\epsilon_{surf})^{1/4}]^{1/2} \tag{7}$$

Using potential temperature in place of temperature in Eqs. (3) and (4), the index can be expressed as follows:

$$\psi = [1 - (\epsilon/\epsilon_{surf})^{1/4} (P_{s,0}/P_{surf,0})^{R_d/c_p}]^{1/2} \tag{8}$$

In practice, using potential temperature matters more when  $P_{s,0}$  or  $P_{surf,0}$  are markedly different. For this study, we assume a thin draining air layer such that Eqs. (4) and (5) or Eqs. (7) and (8) would give similar results.

## 2.2 Cold-air drainage propensity index and downslope flow velocity

Here, we provide the motivation for the functional form of  $\psi$  given by Eqs. (4) and (5). Assume a thin layer of air with thickness  $h$  directly above a surface with a slope angle  $\alpha$ . Furthermore, assume that the potential temperature outside the layer is  $\theta_a$  (i.e., the background temperature) and the potential temperature within the layer is  $\theta_l$ . The ratio  $(\theta_a - \theta_l)/\theta_a$  is a measure of the buoyant force that drives air to move downslope at velocity  $u$  (Mahrt 1982). Mahrt (1982) showed how a downslope flow velocity under idealized equilibrium conditions ( $\hat{u}$ ) can be estimated using

$$u^* = \frac{\hat{u}}{[hg \sin \alpha / (C_D + k)]^{1/2}} = \left[ \frac{(\theta_a - \theta_l)}{\theta_a} \right]^{1/2} \tag{9}$$

where  $g$  is the acceleration due to gravity,  $C_D$  is the drag coefficient,  $k$  is a mixing or entrainment coefficient, and  $u^*$

is the downslope velocity expressed in dimensionless form. Although not explicitly shown, both  $C_D$  and  $k$  are themselves functions of  $\hat{u}$ , while the thickness of the layer  $h$  may be affected by the atmospheric stability. The development of the drainage layer may also affect the background flow and thus the vertical mixing, which can serve to weaken or disrupt the flow (e.g., Jemmett-Smith et al. 2019). However, for simplicity, we assume that the rate of change  $d[h/(C_D + k)]/du$  is much smaller than  $d[(\theta_a - \theta_l)/\theta_a]/du$  and thus treat  $h/(C_D + k)$  as a constant. Clearly, Eq. (9) represents an idealized hillslope, whereas the development of cold-air drainage will be different for different terrain, such as shallow basins (e.g., Gustavsson et al. 1998) and deep valleys (e.g., Arduini et al. 2016, 2017). Local details (e.g., land cover) will influence the manner and extent to which a change in radiative cooling alone determines a change in the maximum flow strength (Burns and Chemel 2014).

Based on Eq. (9), we assume that  $u^*$  is positively related to our index  $\psi$ . That is, when  $\psi$  is larger, the asymptotically maximum downslope velocity achievable during the night is also likely to be larger. More specifically, we assume that relative changes in  $u^*$  with increasing background air temperature are approximately equal to the relative changes in  $\psi$  with increasing background air temperature:

$$\frac{1}{\psi} \frac{\partial \psi}{\partial \theta_{a,0}} \approx \frac{1}{u^*} \frac{\partial u^*}{\partial \theta_a} \tag{10}$$

We emphasize that we do not claim that  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$  from Eq. (5) can substitute for  $(\theta_a - \theta_l)/\theta_a$  in Eq. (9) to predict  $u^*$ . First,  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$  does not express an existing relative temperature difference, but a hypothetical difference that would exist if the surface equilibrated instantaneously to a given temperature  $T_{s,0}$  (with corresponding potential temperature  $\theta_{s,0}$ ). Instead,  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$  can be viewed as a *potential* (what we call *propensity* to not confuse it with the “potential” in potential temperature) for cold-air drainage to occur due to long-wave cooling.

Second,  $\theta_l$  in Eq. (9) is the air temperature in the draining layer, whereas  $T_{surf,eq}$  is a hypothetical temperature of the surface. Although the surface temperature will influence the air temperature through the exchange of mass (moisture) and energy (e.g., Sun et al. 2005), they need not be identical. Under clear skies, the surface is typically warmer than the air temperature 1 to 3 m above it during the day and cooler at night, with a transition from warmer to colder occurring shortly before sunset (Jin et al. 1997; Edwards et al. 2011; Good 2016). Because the surface typically cools faster than the air above it during the evening, our index of a hypothetical buoyant force,  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$ , will underestimate the buoyant force when the surface is colder than the adjacent air, so a more precise expression would be  $[\theta_{s,0} - (T_{surf,eq} + \Delta)]/\theta_{s,0}$ , where  $\Delta$  is the difference between

$T_{surf,eq}$  and the associated potential temperature of the air immediately above it. The effect of  $\Delta$  will be negligible if  $(\theta_{s,0} - T_{surf,eq}) \gg \Delta$ , or if the following condition holds true:

$$\frac{\epsilon}{\epsilon_{surf}} \ll \left( \frac{T_{s,0} - \Delta}{T_{s,0}} \right)^4 \quad (11)$$

(see Online Resource Appendix S1 for the derivation of Eq. (11)). For a typical condition near dusk where  $\Delta = 2$  K (e.g., Good 2016) and  $T_{s,0} = 298$  K,  $\epsilon/\epsilon_{surf} \ll 0.973$ , showing how the index becomes less valid as  $\epsilon$  approaches  $\epsilon_{surf}$ . Consequently, our propensity index will approach zero less quickly as  $\epsilon$  increases than if we had included the effect of  $\Delta$ .

### 2.3 Sensitivity of the cold-air drainage propensity index to AGHG-driven warming

When considering the effect of anthropogenic AGHG-driven warming on the cold-air drainage propensity index, it is clear from Eq. (7) that the primary term of interest here is the effective atmospheric emissivity. We assume surface emissivity does not change, although it can vary with changes in land use, land cover, and snow cover. We can estimate effective atmospheric emissivity from Brutsaert (1975) who derived a commonly used formula for clear-sky  $R_{LD}$  based on simplifying assumptions for the vertical profiles of temperature and water vapor (Online Resource Appendix S2):

$$\frac{R_{LD}}{\sigma T_s^4} = \epsilon_B = \lambda (e_s/T_s)^m \quad (12)$$

where  $\epsilon_B$  is the ‘‘Brutsaert emissivity’’,  $e_s$  is the ground-level vapor pressure,  $m$  is an empirically derived constant, and the parameter  $\lambda$  is a function of both  $T_s$  and the change in air temperature  $T$  with height  $z$ , or lapse rate  $\Gamma = -dT/dz$  (Online Resource Appendix S3). Brutsaert (1975) assumed  $m = 1/7$  and held  $\lambda$  constant based on its relatively low sensitivity to changes in  $T_s$  and  $\Gamma$ . Although many formulas exist for estimating clear-sky  $R_{LD}$  (see reviews in Prata 1996; Flerchinger et al. 2009; Guo et al. 2019), Eq. (12) is convenient because it provides an analytical expression for emissivity as a function of three local factors that are predicted to change with increasing AGHG concentrations:  $T_s$ ,  $e_s$ , and  $\Gamma$  (e.g., Ramanathan et al. 1979). Equation (12) is also well tested and has been found to perform as well as, if not better than, other formulas in a variety of tropical (e.g., Culf and Gash 1993; Duarte et al. 2006), temperate (e.g., Flerchinger et al. 2009), and Arctic (e.g., Sedlar and Hock 2009) settings. However, we acknowledge that the universality of the functional form of Eq. (12), and that of all common formulas using surface meteorological conditions, is questionable (Abramowitz et al. 2012).

Equation (12) underestimates effective emissivity at low vapor pressure because  $\epsilon_B$  goes to zero as  $e_s$  goes to zero, yet in reality the atmosphere’s effective emissivity would remain  $> 0$  due to the presence of other emitting gases (Konzelmann et al. 1994; Prata 1996). We therefore limit  $\epsilon_B$  to a lower ‘‘background’’ emissivity value  $\epsilon_0$ . Considering  $\text{CO}_2$  only,  $\epsilon_0$  has a calculated range of 0.176–0.185 at mean sea level (m.s.l.) at 300 ppm  $\text{CO}_2$  (Prata 1996). Observation-based published values of  $\epsilon_0$  considering all atmospheric gases range from 0.20–0.23 (e.g., Dürre and Philipona 2004); we assume  $\epsilon_0 = 0.2$  at m.s.l.

Although Eq. (12) can be used to estimate the effect on local  $R_{LD}$  due to local temperature and humidity changes arising from increasing AGHG concentrations, it cannot be used as is to estimate the *direct radiative effect* of additional AGHGs on  $R_{LD}$  because the Brutsaert emissivity does not explicitly include a term for greenhouse gases. Moreover, although the formula for  $\epsilon_B$  was derived from physical principles, it includes empirically derived parameters derived from a function fitted to measurements of clear-sky emissivity versus measurements of water vapor path length (Prata 1996). These measurements were taken prior to the publication of Brutsaert (1975), when the concentrations of other important long-wave emitting gases in the atmosphere, namely  $\text{CO}_2$ , were roughly at mid-twentieth century levels. The concentrations of these other gases would also have influenced the measured clear-sky emissivity of the atmosphere at a particular water vapor path length. Brutsaert’s emissivity formula, therefore, should be most valid at greenhouse gas concentrations near those at the time the measurements upon which the formula is based were taken.

We therefore add a quantity,  $\Delta\epsilon_{AGHG}$ , to Brutsaert’s emissivity that is the direct contribution of additional atmospheric AGHGs beyond what is taken into account by  $\epsilon_B$  in Eq. (12):

$$R_{LD} = (\epsilon_B + \Delta\epsilon_{AGHG}) \sigma T_s^4 \quad (13)$$

Here, we consider only the contribution of atmospheric  $\text{CO}_2$  concentrations to  $\Delta\epsilon_{AGHG}$ , knowing that other greenhouse gases (e.g.,  $\text{CH}_4$ ,  $\text{N}_2\text{O}$ ,  $\text{O}_3$ ), are expected to make a lesser, but still important, combined contribution (e.g., Meinshausen et al. 2011). The contribution of  $\text{CO}_2$  to emissivity can be expressed as the effective emissivity of  $\text{CO}_2$  ( $\epsilon_{\text{CO}_2}$ ) in a dry atmosphere plus an  $\text{H}_2\text{O}$ - $\text{CO}_2$  overlap correction ( $\epsilon_{\text{OL}}$ ) that considers the overlapping of  $\text{H}_2\text{O}$  and  $\text{CO}_2$  spectral absorption bands (Staley and Jurica 1972). The direct contribution to effective emissivity from rising  $\text{CO}_2$  concentrations after some reference time is given by

$$\Delta\epsilon_{AGHG} = \epsilon_{\text{CO}_2} + \epsilon_{\text{OL}} - (\epsilon_{\text{CO}_2,ref} + \epsilon_{\text{OL},ref}) \quad (14)$$



where the effective CO<sub>2</sub> emissivity at the reference CO<sub>2</sub> concentration is  $\epsilon_{CO_2,ref}$  and the H<sub>2</sub>O-CO<sub>2</sub> overlap correction at the reference CO<sub>2</sub> concentration is  $\epsilon_{OL,ref}$ .

We assume the reference effective emissivity of CO<sub>2</sub> ( $\epsilon_{CO_2,ref}$ ) to be 0.19 at a reference CO<sub>2</sub> concentration of 329 ppm (i.e., a mass mixing ratio of 5E-4 g g<sup>-1</sup>) and m.s.l. (~1000 hPa) based on Staley and Jurica (1972). This atmospheric CO<sub>2</sub> concentration was reached circa 1973 CE, conveniently close to the Brutsaert (1975) era. By 2100 CE, the CO<sub>2</sub> concentration is projected to be 920 ppm under Representative Concentration Pathway (RCP) 8.5; this concentration would be reached by high rates of fossil fuel emissions compared to other published scenarios (Meinshausen et al. 2011). At 920 ppm,  $\epsilon_{CO_2} = 0.213$  (Staley and Jurica 1970; Online Resource Appendix S4).

The H<sub>2</sub>O-CO<sub>2</sub> overlap correction as a function of temperature and both CO<sub>2</sub> and H<sub>2</sub>O optical depths is available from tables in Staley and Jurica (1970). For our purposes, calculating the H<sub>2</sub>O-CO<sub>2</sub> overlap correction as a function of  $e_s$  and  $T_s$  instead of H<sub>2</sub>O optical depth is more convenient, although this requires assumptions about the vertical temperature and humidity profiles in the troposphere. We assume the same temperature and humidity profile as Brutsaert (1975) when calculating a vertically integrated H<sub>2</sub>O optical depth, which we use to estimate  $\epsilon_{OL}$  (Online Resource Appendix S5).

Finally, we can substitute  $\epsilon_B + \Delta\epsilon_{AGHG}$  for  $\epsilon$  in Eqs. (7) and (8) to get both forms of the cold-air drainage propensity index accounting for the change in AGHG concentrations:

$$\psi = \left[ 1 - \left( \frac{\epsilon_B + \Delta\epsilon_{AGHG}}{\epsilon_{surf}} \right)^{1/4} \right]^{1/2} \tag{15a}$$

and

$$\psi = \left[ 1 - \left( \frac{\epsilon_B + \Delta\epsilon_{AGHG}}{\epsilon_{surf}} \right)^{1/4} \left( \frac{P_{s,0}}{P_{surf,0}} \right)^{R_d/c_p} \right]^{1/2} \tag{15b}$$

## 2.4 Site-specific applications of the cold-air drainage propensity index

To investigate how this sensitivity of the cold-air drainage propensity index varies geographically, we consider typical cold-air drainage conditions at three sites with contrasting climates (Table 1): (1) H.J. Andrews Experimental Forest (Cascade Range, Oregon, USA), where nocturnal cold-air drainage and subsequent cold-air pooling is most common in late summer and early fall (Daly et al. 2007; Rupp et al. 2020); (2) Kevo Subarctic Research Station located above the Arctic Circle in Finnish Lapland, where nocturnal cold-air drainage frequently commences during late afternoon in the spring and fall (Pike et al. 2013; Pike 2013); and (3) the FLONA Tapajós study area in Brazilian eastern Amazonia (da Rocha et al. 2004), where Goulden et al. (2006) observed evidence of cold-air drainage on clear nights. The effective emissivity is adjusted for the elevation at each site (Table 1; Online Resource Appendix S6).

To estimate the AGHG-driven warming effect on  $\psi$  we apply Eqs. (15a) and (15b) for a reference state ( $\Delta\epsilon_{AGHG} = 0$ ;  $T_{s,0} = T_{ref}$ ;  $\Gamma = \Gamma_{ref}$ ) and a future state ( $\Delta\epsilon_{AGHG} > 0$ ;  $T_{s,0} = T_{ref} + \Delta T$ ;  $\Gamma = \Gamma_{ref} + \Delta\Gamma$ ) where the reference temperature  $T_{ref}$ , reference lapse rate  $\Gamma_{ref}$ , temperature change  $\Delta T$ , and lapse rate change  $\Delta\Gamma$  vary by location (Table 1). The RCP8.5 scenario produces about a 6 K increase in mean August temperature over the northwest USA by 2100 CE compared to the 1979–1990 CE mean (Rupp et al. 2017a), averaged over simulations from the ensemble of global climate models in the Coupled Model Intercomparison Project phase 5 (CMIP5). Because projected temperature changes vary globally and seasonally, we scaled temperature changes at the Finland and Amazonia sites relative to the Oregon site (6 K increase) based on differences in the ensemble-mean CMIP5 projections (Alder et al. 2013), rounded to the nearest 0.5 K. For Finland, we used October values to avoid the larger potential impacts of snow loss on cold-air drainage in March and for eastern Amazonia we used August values (peak dry season), resulting in 5.5 and 5.0 K projected temperature increases for the two sites, respectively.

**Table 1** Study site elevation, time of year, reference relative humidity (RH) range and reference air temperature ( $T_{s,0}$ ) at dusk, projected RCP8.5 temperature change ( $\Delta T$ ), reference lapse rate ( $\Gamma_{ref}$ ), and projected lapse rate change ( $\Delta\Gamma$ )

Location	Elevation (m)	Month	RH (%)	$T_{s,0}$ (°C)	$\Delta T$ (°C)	$\Gamma_{ref}$ (°C km <sup>-1</sup> ) <sup>d</sup>	$\Delta\Gamma$ (°C km <sup>-1</sup> )
Amazonia <sup>a</sup>	200	August	70–90	25	5.0	6.5	–0.5
Finland <sup>b</sup>	200	October	70–90	–5	5.5	6.0	–0.1
Oregon <sup>c</sup>	600	August	50–70	20	6.0	6.5	0.1

<sup>a</sup>FLONA Tapajós study area in Brazilian eastern Amazonia (da Rocha et al. 2004; Goulden et al. 2006)

<sup>b</sup>Kevo Subarctic Research Station, Finland (Pike et al. 2013; Pike 2013)

<sup>c</sup>H.J. Andrews Experimental Forest, Oregon, United States (Daly et al. 2007; Rupp et al. 2020)

<sup>d</sup>See Online Resource Appendix S8

Tropospheric lapse rates are projected to change with anthropogenic warming, with declines over the tropics, increases over the Arctic, and relatively little change over the mid-latitudes (Ramanathan 1977; Collins et al. 2013), though with seasonal variability (e.g., Rupp et al. 2017b). For the Amazonia site, we assume a decrease in  $\Gamma$  of  $0.5 \text{ K km}^{-1}$  by 2100 CE (Fig. 12.12 in Collins et al. 2013). For the Oregon and Finland sites, we assume  $\Gamma$  increases and decreases, respectively, on the order of  $0.1 \text{ K km}^{-1}$  (Collins et al. 2013; Rupp et al. 2017b).

We assume that relative humidity (RH) remains unchanged, as only minor changes are projected (Held and Soden 2006; Seager et al. 2010; Pierce et al. 2013). For example, over western Oregon and by the end of the twenty-first century under RCP8.5, global climate models project decreases in RH of only a few percentage points (Pierce et al. 2013). However, we discuss the implications of minor changes in RH in Sect. 3.

### 3 Results and discussion

#### 3.1 Sensitivity of $\psi$ to changes in temperature and vapor pressure only

First, we examine the sensitivity of  $\psi$  to changes in temperature and vapor pressure in the absence of changing  $\text{CO}_2$  concentrations to separate the direct effect of  $\text{CO}_2$  on emissivity from the effect of increasing specific humidity with increasing temperature under constant RH (i.e., the Clausius–Clapeyron equation). For now, we assume  $\Gamma_{ref} = 6.5 \text{ K km}^{-1}$  as did Brutsaert (1975). We find a conveniently near-linear relationship between the difference  $\theta_{s,0} - T_{surf,eq}$ , which we refer to as the *equilibrium temperature deficit*, and the background air temperature  $\theta_{s,0}$  when RH is held constant (Online Resource Fig. S3):

$$\theta_{s,0} - T_{surf,eq} \approx c_1 \theta_{s,0} + c_0 \tag{16}$$

where  $c_1$  and  $c_0$  are the linear equation coefficients. Substituting Eq. (16) into Eq. (5) yields

$$\psi \approx (c_0/\theta_{s,0} + c_1)^{1/2} \tag{17}$$

for  $c_0/\theta_{s,0} > -c_1$ . The derivative of  $\psi$  with respect to  $\theta_{s,0}$  is

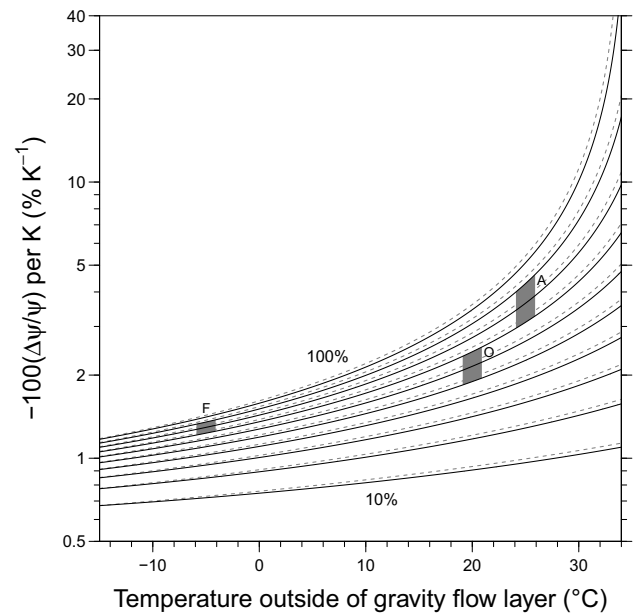
$$\frac{d\psi}{d\theta_{s,0}} \approx -\frac{c_0}{2\theta_{s,0}^2 (c_0/\theta_{s,0} + c_1)^{1/2}} \tag{18}$$

Thus, the relative sensitivity of  $\psi$  to a small change in  $\theta_{s,0}$  can, after rearrangement of terms, be approximated by

$$-\frac{1}{\psi} \frac{\Delta\psi}{\Delta\theta_{s,0}} \approx \frac{1}{2(\theta_{s,0} - a\theta_{s,0}^2)} \tag{19}$$

where  $a = -c_1/c_0$  and for  $\theta_{s,0} > a\theta_{s,0}^2$ . Because  $a$  is positive, the term in parentheses in the right-hand side of Eq. (19) goes to zero as  $\theta_{s,0}$  goes to  $1/a$  (e.g.,  $1/a = 321 \text{ K}$  or  $48 \text{ }^\circ\text{C}$  at  $\text{RH} = 70\%$ ); therefore, it is immediately evident that the relative sensitivity of the index increases with increasing reference air temperature.

The relative decrease in the index  $-\Delta\psi/\psi$  for a 1 K increase in  $\theta_{s,0}$  is small ( $< 2\%$ ) at lower reference air temperatures (below  $\sim 10 \text{ }^\circ\text{C}$ ) and at any RH (Fig. 1). This result implies that in colder regimes with their lower vapor pressure, cold-air drainage is not very sensitive to warming. At higher temperature and high RH, however,  $\psi$  rapidly becomes sensitive to warming to a point where  $-\Delta\psi/\psi$  exceeds 10% for even a 1 K temperature increase. Relative decreases in  $\psi$  per K for each site are highlighted in Fig. 1 based on the reference RH and temperature at dusk given in Table 1. To place the three sites on the same figure, we used



**Fig. 1** Relative decrease (%) in the propensity of cold-air drainage index ( $\psi$ ) per 1 K increase in the air temperature outside of the drainage layer as a function of the reference air temperature ( $^\circ\text{C}$ ) outside of the drainage layer using the simplified approximate solution (Eq. (19); solid black lines) and full derivation (dashed gray lines; see Online Resources Appendices S1 – S5).  $\text{CO}_2$  concentrations are constant. Solid and dashed lines show contours of constant RH ranging from 10 to 100% in 10% increments, increasing upward in the panel. Approximate representative meteorological conditions for August at the Amazonia (A) and Oregon (O) sites and October at the Finland (F) site are shown by the shaded polygons. To be able to compare all sites on the same graph, elevation and  $\Gamma_{ref}$  for all sites were assumed to be the same as the Oregon site (Table 1)

**Fig. 2** Relative decrease (%) in the propensity of cold-air drainage index ( $\psi$ ) by 2100 CE (RCP8.5) as a function of the reference air temperature (circa 1970s CE) outside of the drainage layer for the **a** Finland, **b** Oregon, and **c** Amazonia sites. A 280% increase in CO<sub>2</sub> concentration (920 ppm) above the circa 1970s CE reference (329 ppm) is assumed, along with local temperature and lapse rate changes given in Table 1. Solid lines include the direct emissivity effect of increased atmospheric CO<sub>2</sub>. Gray-dashed lines exclude the direct effect of increased atmospheric CO<sub>2</sub> on emissivity. Both solid and dashed lines show contours of constant RH ranging from 10 to 100% in 10% increments, increasing upward in the panel. Approximate representative meteorological conditions for each site (see Table 1) are shown by the shaded polygons; darker/lighter polygons include/exclude the direct effect of increased atmospheric CO<sub>2</sub> on emissivity

$\Gamma_{ref}$  and the elevation (which influences  $\psi$  by affecting the amount of atmosphere above the surface) of the Oregon site for all sites (Table 1) as the effect of these two factors will be small relative to the effect of site differences in reference temperature and RH. The index decreases by 3.2–4.4% K<sup>-1</sup> for the Amazonia site, 1.9–2.5% K<sup>-1</sup> for the Oregon site, and 1.3–1.4% K<sup>-1</sup> for the Finland site, at constant RH.

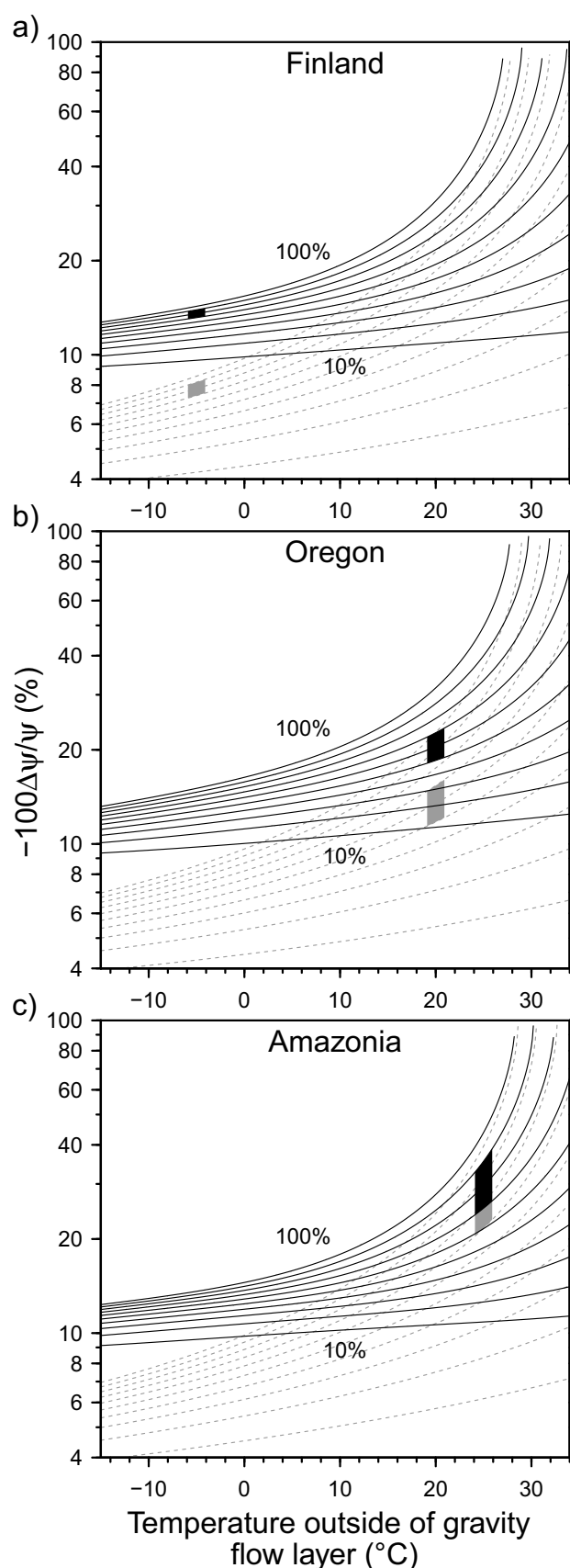
### 3.2 Sensitivity of $\psi$ to changes in AGHG

Under the AGHG-driven warming scenario (6 K increase with a 280% increase in CO<sub>2</sub> concentration by 2100 CE), the index decreases by at least 10% except for conditions that are both cold (<0 °C) and very dry (<~10% RH) (Fig. 2). At the Oregon site,  $\psi$  decreases by 19%, 20%, and 23% for RH = 50, 60, and 70%, respectively. As stated previously, decreases in RH of a few percentage points are projected for western Oregon and by the end of the twenty-first century under RCP8.5. Such a decrease in RH would marginally reduce the decrease in  $\psi$ .

To demonstrate the effect of  $\Delta\epsilon_{AGHG}$  alone, the  $\psi$  decreases for the Oregon site described above (i.e., 19%, 20%, and 23% for RH = 50, 60, and 70%) can be compared to decreases in  $\psi$  that assume the same temperature increase and associated water vapor increase but set  $\Delta\epsilon_{AGHG} = 0$ : 12%, 13%, and 15%, respectively (Fig. 2b, dotted lines). The differences between these values with and without including  $\Delta\epsilon_{AGHG}$  (e.g., 23% vs. 15% at RH = 70%) reveal that the increase in the CO<sub>2</sub> emissivity, while smaller than the increase in the water vapor emissivity, is an important contributor to the total change in atmospheric emissivity.

To isolate the effect of the change in lapse rate from the change in temperature, we also calculated the change in  $\psi$  with  $\Delta\Gamma = 0$ . At the Oregon site in August, the assumed increase in lapse rate would reduce the effective atmospheric emissivity and consequently reduce the decrease in  $\psi$ . However, the decrease in  $\psi$  is reduced by only a tiny fraction (<0.002) with the change in  $\Gamma$  imposed.

As expected given the results in Sect. 3.1 and Fig. 1, the Finland site has the smallest decreases in  $\psi$ , approximately



**Fig. 3** Relative decrease (%) in the propensity of cold-air drainage index ( $\psi$ ) by 2100 CE (RCP8.5) as a function of the reference lapse rate  $\Gamma_{ref}$  for the **a** Finland, **b** Oregon, and **c** Amazonia sites. Solid lines are contours of constant RH ranging from 10 to 100% in 10% increments, increasing upward in the panel. The shaded area shows the representative RH range for each site. The vertical gray line marks the value of  $\Gamma_{ref}$  used for Fig. 2. See Table 1 for reference conditions and projected changes in conditions assumed at each site

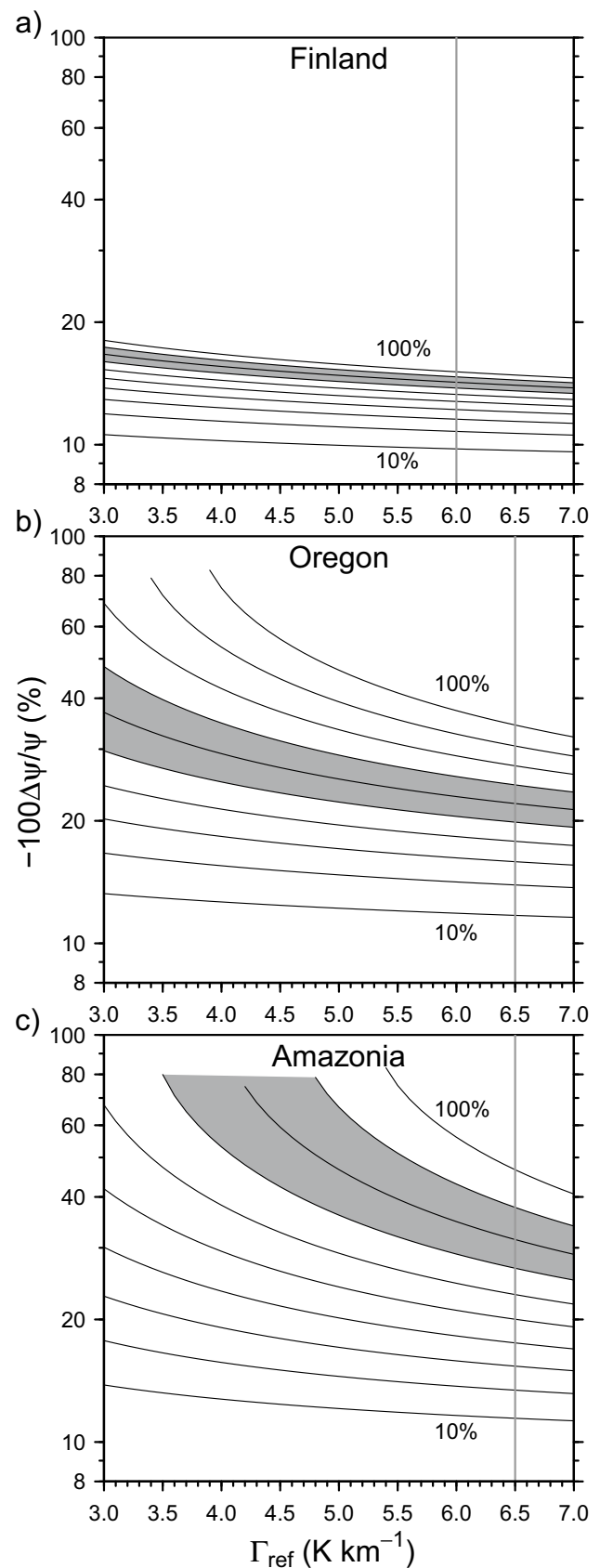
13 to 14% at RH ranging from 70 to 90%. The projected decrease in  $\Gamma$  adds slightly to the decrease in  $\psi$ , but the fractional contribution of the change in  $\Gamma$  to the change  $\psi$  is less than 0.005. In contrast, decreases in  $\psi$  at the Amazonia site are largest: 25%, 30%, and 35% for RH = 70, 80, and 90%, respectively. The projected change in  $\Gamma$  ( $-0.5 \text{ K km}^{-1}$ ) also has a small but nonnegligible effect: The fractional contribution of the change in  $\Gamma$  to the change in  $\psi$  ranges from 0.05 to 0.08 for RH ranging from 50 to 70%.

These relative decreases in the propensity index for the Amazonia site appear large, but they could be the result of decreases in  $\psi$  for values of  $\psi$  that are negligibly small at the start. For the reference conditions used to represent the Amazonia site, the average value of  $\psi$  is 0.17. A comparison with the Finland site where  $\psi \approx 0.31$  and where cold-air drainage is frequent suggests the reference values of  $\psi$  for the Amazonia site, while smaller, are not negligible (Online Resource Appendix S7; Fig. S4).

A key result is that the sensitivity of the index to AGHG-driven warming is strongly dependent on the initial (reference) conditions. These conditions include the vertical humidity profile which is linked to the vertical temperature profile defined by  $\Gamma_{ref}$  (Online Resource Appendix S2). At the cold Finland site,  $\psi$  decreases are not very sensitive to  $\Gamma_{ref}$  (Fig. 3a). With this site's low temperatures, vapor pressures are low regardless of RH and the effective emissivity remains low regardless of  $\Gamma_{ref}$ , so  $\psi$  stays relatively high. Combined with a relatively low rate of change in vapor pressure with temperature (via the Clausius-Clapeyron relationship) at low temperatures, this produces small relative changes in  $\psi$ . In contrast, the warm Amazonia site is notably sensitive to  $\Gamma_{ref}$  with decreases in  $\psi$  from roughly 30% ( $\Gamma_{ref} = 7 \text{ K km}^{-1}$ ) to > 80% ( $\Gamma_{ref} = 4 \text{ K km}^{-1}$ ) for RH = 80% (Fig. 3c). Not surprisingly, the response at the Oregon site falls between the Finland and Amazonia sites, with decreases in  $\psi$  ranging from about 20 to 40% over the applied range of  $\Gamma_{ref}$  at RH = 60% (Fig. 3b).

### 3.3 Study limitations

This analysis assumes initial conditions (i.e., at dusk prior to the formation of near-surface temperature inversions resulting from cold-air drainage) of temperature and humidity decreasing with height smoothly, following Brutsaert (1975). However, actual temperature and humidity profiles at





any given time can be quite different from these hypothetical profiles. For example, lower tropospheric temperature inversions driven by synoptic-scale advection of warmer air over cooler air produce a stable atmosphere that facilitates local cold-air drainage (e.g., Reeves and Stensrud 2009; Daly et al. 2010), but such initial conditions of negative  $\Gamma$  in the lower troposphere cannot be explicitly produced under our assumptions. AGHG warming would still cause a decrease in  $\psi$  under such alternative initial temperature-humidity profiles, but the precise magnitude of change in  $\psi$  as a function of the reference near-surface temperature and relative humidity would depend on the relationship between these near-surface variables and the downward long-wave radiative flux that is specific to the temperature-humidity profile.

Many other factors besides changing local long-wave radiative flux may also affect the response of cold-air drainage to global climate change. Changes in atmospheric circulation, and subsequent effects on local atmospheric stability and mixing and local background winds, might suppress or promote the development of cold-air drainage and could have a larger influence than the changes in radiative fluxes examined in this study. Changes in radiative flux may also affect local stability in nonlinear ways that challenge our assumption that the index and downslope flow velocity respond similarly to changes in background air temperature (Eq. 10), although linearity may still be a reasonable approximation when background air temperature changes are limited to a few degrees C.

Further work is needed to understand how the many meteorological factors that drive cold-air drainage may change under projected future climate changes. Experiments are needed to field test and refine  $\psi$ , such as using soundings and measurements of low-level winds over a wide range of temperatures and humidity, controlled for other environmental factors. For example, soundings at dusk could be compared between days that are similar when scaled by surface temperature to determine how effectively the index predicts subsequent cold-air drainage development. Such tests could take advantage of data from existing field studies (e.g., COLPEX, Price et al. 2011; PCAPS, Lareau et al. 2013; MATERHORN, Fernando et al. 2015; SLOPE, Oldroyd et al. 2016), though small sample size and inability to control for multiple environmental factors will present large challenges.

## 4 Conclusions

We present a simple index,  $\psi$ , for the propensity of idealized nocturnal cold-air drainage to occur during calm and clear-sky conditions. The index is a function of air temperature and humidity at dusk and atmospheric  $\text{CO}_2$

concentration. Although here we only present results for  $\text{CO}_2$ , the index may be applied for other AGHGs. We relate changes in the index due to changing atmospheric emissivity to changes in idealized downslope velocity during nocturnal cold-air drainage. Based on the index, we hypothesize that cold-air drainage intensity would decrease in a warming climate, in the absence of changes to atmospheric circulation affecting background wind flow. We further hypothesize that warm temperature regimes (either by geography or season), especially those with high relative humidity, would experience the largest relative decreases in cold-air drainage intensity. For example, warm and humid areas of the Amazon River basin would be particularly sensitive. In contrast, colder regimes would experience relatively small declines in cold-air drainage due to radiative changes alone. Assuming relative changes in  $\psi$  can be equated to relative changes in cold-air drainage (an assumption still unverified by observation), projected decreases in nocturnal cold-air drainage intensity are estimated to exceed 10% under large increases in AGHG concentrations (280% increase in  $\text{CO}_2$ ) in many settings, with decreases approaching 100% in warm and humid regimes as the effective atmospheric emissivity approaches the surface emissivity. These projected changes are based only on the direct effects of AGHGs on background warming and local radiative fluxes.

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**Author's contributions** Conceptualization: David Rupp and Chad Higgins. Methodology: David Rupp. Writing—original draft preparation: David Rupp; writing—review and editing: David Rupp, Sarah Shafer, Chris Daly, Julia Jones, and C. Higgins; resources: Chris Daly.

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**Data availability** The data generated for this study are available in the ScienceBase repository, <https://doi.org/10.5066/P9O32PGV> (Rupp et al. 2021).

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

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# *Theoretical and Applied Climatology*

## **Supporting Information**

### **Influence of anthropogenic greenhouse gases on the propensity for nocturnal cold-air drainage**

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#### **Appendix S1. Derivation of Eq. (11)**

A key property of our propensity index is that the driving term  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$  has the same form as the buoyancy force term  $(\theta_a - \theta_l)/\theta_a$  in the equilibrium downslope flow Eq. (9). However,  $T_{surf,eq}$  is a surface temperature and  $\theta$  is the potential temperature of the air immediately above the surface. To account for a difference in surface temperature and air temperature close to the surface, we can replace  $(\theta_{s,0} - T_{surf,eq})/\theta_{s,0}$  with  $[\theta_{s,0} - (T_{surf,eq} + \Delta)]/\theta_{s,0}$ , where  $\Delta$  is the difference between  $T_{surf,eq}$  and the associated potential temperature of the air immediately above it. Neglecting  $\Delta$  provides a good approximation of the buoyant force so long as

$$\frac{\theta_{s,0} - T_{surf,eq}}{\theta_{s,0}} \gg \frac{\Delta}{\theta_{s,0}} \quad (S1)$$



Expressing Eq. (S1) in terms of temperature instead of potential temperature yields

$$\frac{T_{s,0} (P_{surf,0}/P_{s,0})^{R_d/c_p} - T_{surf,eq}}{T_{s,0} (P_{surf,0}/P_{s,0})^{R_d/c_p}} \gg \frac{\Delta}{T_{s,0} (P_{surf,0}/P_{s,0})^{R_d/c_p}} \quad (S2)$$

Simplifying Eq. (S2) yields:

$$(P_{surf,0}/P_{s,0})^{R_d/c_p} - \frac{T_{surf,eq}}{T_{s,0}} \gg \frac{\Delta}{T_{s,0}} \quad (S3)$$

Substituting Eq. (3),  $T_{surf,eq} = (\epsilon/\epsilon_{surf})^{1/4} T_{s,0}$ , into Eq. (S3) and rearranging terms yields

$$\frac{\epsilon}{\epsilon_{surf}} \ll \left[ (P_{surf,0}/P_{s,0})^{R_d/c_p} - \frac{\Delta}{T_{s,0}} \right]^4 \quad (S4)$$

The adiabatic pressure term  $(P_{surf,0}/P_{s,0})^{R_d/c_p}$  will always be greater than one, so we can make a conservative approximation to Eq. (S4) by setting it equal to one and rewriting Eq. (S4) as

$$\frac{\epsilon}{\epsilon_{surf}} \ll \left( \frac{T_{s,0} - \Delta}{T_{s,0}} \right)^4 \quad (S5)$$

Eq. (S5) gives the conditions under which we can approximate the near surface temperature with the surface temperature in Eq. (9).

Though we do not apply one in this study, a correction term could be added to Eqs. (7) and (8) to account for the influence of  $\Delta$ . In the case of Eq. (7), for example, the modified equation would take the following form:

$$\psi = \left[ 1 - (\epsilon/\epsilon_{surf})^{1/4} \left( \frac{T_{s,0}}{T_{s,0} - \Delta} \right) \right]^{1/2} \quad (S6)$$

## Appendix S2. H<sub>2</sub>O optical depth as a function of near-surface vapor pressure

To relate the optical depth of atmospheric H<sub>2</sub>O vapor ( $\tau$ ) to a given near-surface vapor pressure ( $e_s$ ) and temperature ( $T_s$ ), we made simplifying assumptions about vertical temperature

and humidity profiles in the troposphere. We followed Brutsaert (1975) to be consistent with the assumptions underlying the effective emissivity,  $\epsilon_B$ , in Eq. (12) in Section 2.3. We refer the reader to Brutsaert (1975) for the justification of the following assumptions.

The assumed vertical profile of air temperature,  $T$ , is given by

$$T = T_s \exp(-\Gamma_0 z / T_s) \quad (\text{S7})$$

where  $T_s$  is the air temperature at the surface,  $z$  is the height above mean sea level, and  $\Gamma_0$  is the lapse rate, or  $-dT/dz$ , at the surface. Eq. (S7) leads to a lapse rate  $\Gamma(z)$  that decreases with height through the troposphere (see also Appendix S8):

$$\Gamma = \Gamma_0 \exp(-\Gamma_0 z / T_s) \quad (\text{S8})$$

In this study,  $\Gamma_0 = \Gamma_{\text{ref}}$  in the reference climate and  $\Gamma_0 = \Gamma_{\text{ref}} + \Delta\Gamma$  in the projected future climate.

The air pressure  $p$  with respect to  $z$  is also an exponential function, consistent with Eq. (S7) and the hydrostatic equation of state:

$$p = p_s \exp[(-g/R_d T_s)z] \quad (\text{S9})$$

where  $p_s$  is the surface pressure,  $g$  is the acceleration due to gravity ( $9.80665 \text{ m s}^{-2}$ ), and  $R_d$  is the gas constant for dry air ( $287.053 \text{ J kg}^{-1} \text{ K}^{-1}$ ).

The water vapor density  $\rho_v$  is assumed to vary with  $z$  as

$$\rho_v = (0.622 e_s / R_d T_s) \exp(-k_w z) \quad (\text{S10})$$

where  $e_s$  is the water vapor pressure at the surface, the value 0.622 is the ratio of the gas constants of dry air to water vapor, and  $k_w$  is a constant dependent on atmospheric conditions.

The parameter  $k_w$  is a function of the surface temperature and lapse rate:

$$k_w = b_1 (\Gamma_0 / T_s^2) - (\Gamma_0 / T_s) + b_0 \quad (\text{S11})$$

where  $b_0 = 5.5\text{E-}5 \text{ m}^{-1}$  and  $b_1 = 5800 \text{ K}$ .

The rate of change in the H<sub>2</sub>O optical depth  $\tau$  with  $z$  is given by

$$d\tau = \frac{\rho_v}{\rho_l} (p/p_s)^{1/2} dz \quad (\text{S12})$$

where  $\rho_l$  is the density of liquid water. Substituting Eqs. (S9), (S10), and (S11) into (S12) and integrating from the surface  $z_s$  to a height  $z$  yields

$$\tau = \frac{0.622e_s}{\rho_l R_d T_s} \int_{z_s}^z \exp(-k_2 y) dy \quad (\text{S13})$$

where  $y$  is a dummy variable of integration and

$$k_2 = b_1 \Gamma_0 / T_s^2 + \left( \frac{g}{2R_d} - \Gamma_0 \right) / T_s + b_0 \quad (\text{S14})$$

Finally, solving the integral in (S13) yields  $\tau$  in the atmosphere between  $z_s$  and  $z$ :

$$\tau = \frac{0.622e_s}{\rho_l k_2 R_d T_s} (e^{-k_2 z_s} - e^{-k_2 z}) \quad (\text{S15})$$

In this study, it was convenient to calculate  $\tau$  as a function of vapor pressure and temperature for constant relative humidity  $RH$ . Vapor pressure  $e_s$  was calculated as

$$e_s = e_{sat} \frac{RH}{100} \quad (\text{S16})$$

where  $e_{sat}$  is the vapor pressure at saturation:

$$e_{sat} = 611 \exp \left[ -\frac{L_v}{R_v} \left( \frac{1}{T_s} - \frac{1}{273.15} \right) \right] \quad (\text{S17})$$

where  $R_v$  is the gas constant of water vapor ( $461.5 \text{ J kg}^{-1} \text{ K}^{-1}$ ), and  $L_v$  is the latent heat of vaporization ( $2.256 \times 10^6 \text{ J K}^{-1}$ ). Units of pressure and temperature are Pascals and Kelvin.

### Appendix S3. The Brutsaert (1975) “ $\lambda$ ” parameter

The parameter  $\lambda$  is defined as

$$\lambda = m \left( \frac{0.622}{\nu_B k_2 R_d} \right)^m \text{B} \left( \frac{k_1}{k_2}, m \right) \quad (\text{S18})$$

where  $\nu_B$  is a scaling parameter on the amount of matter  $\nu$  (mainly water vapor) in a cloudless sky ( $\text{kg m}^{-2}$ ),  $k_1$  and  $k_2$  are functions of the tropospheric vertical temperature profile, and  $\text{B}(\cdot)$  is the beta function evaluated at  $k_1/k_2$  and  $m$ . Eq. (S18) is consistent with Eq. (10) in Brutsaert (1975) but given in a form that is independent of the system of units (Duarte et al. 2006). The parameter  $k_1$  is defined as

$$k_1 = b_1 \Gamma_0 / T_s^2 + \left( \frac{g}{2R_d} + 3\Gamma_0 \right) / T_s + b_0 \quad (\text{S19})$$

and  $k_2$  is given by Eq. (S14). The constants  $b_0$  and  $b_1$  are the same as those constants in Eq. (S11). For consistency with Brutsaert (1975), we assume  $m = 1/7$  and  $\nu_B = 70.5 \text{ kg m}^{-2}$ .

### Appendix S4. Effective CO<sub>2</sub> emissivity

Effective CO<sub>2</sub> emissivity  $\epsilon_{\text{CO}_2}$  was estimated at two atmospheric CO<sub>2</sub> concentrations, one representing a 1973 CE reference level (329 ppm) and a second for 2100 CE under RCP8.5 (920 ppm; Meinshausen et al. 2011). Based on Staley and Jurica (1972; their Fig. 1), the reference CO<sub>2</sub> emissivity  $\epsilon_{\text{CO}_2, \text{ref}}$  is approximately 0.19 at a CO<sub>2</sub> concentration of 329 ppm (mass mixing ratio of  $5\text{E-}4 \text{ g g}^{-1}$ ) and at near mean sea level ( $\sim 1000 \text{ hPa}$ ).

Determining  $\epsilon_{\text{CO}_2}$  at a CO<sub>2</sub> concentration of 920 ppm was done using Table 2 in Staley and Jurica (1970), although CO<sub>2</sub> values in that table are given as CO<sub>2</sub> optical depths  $h$ , not concentration. Applying their Table 2 using CO<sub>2</sub> concentrations is straightforward, however, by assuming concentration is proportional to  $h$  and knowing a reference emissivity and



concentration (Bryson and Dittberner 1976). To get the reference CO<sub>2</sub> optical depth ( $h_{\text{ref}} = 77.2$  cm) corresponding to the reference emissivity ( $\epsilon_{\text{CO}_2, \text{ref}} = 0.19$ ), we linearly interpolated the values from Table 2 in Staley and Jurica (1970) (see Fig. S1). We used only the columns for 20 °C in their Table 2 since optical depth  $h$ , and therefore  $\epsilon_{\text{CO}_2}$ , varies very little over the range of temperatures considered in this study (Staley and Jurica, 1972).

To determine  $\epsilon_{\text{CO}_2}$  at 920 ppm, we first calculated  $h$  at 920 ppm as  $h = h_{\text{ref}} \times (920 \text{ ppm} / 329 \text{ ppm}) = 215.8$  cm. Then, from Table 2 in Staley and Jurica (1970) and linear interpolation,  $\epsilon_{\text{CO}_2} = 0.213$  for  $h = 215.8$  cm (Fig. S1).

For the Oregon example used in this study, we repeated the above steps for emissivity at 600 m above mean sea level (~943 hPa). Again, using Staley and Jurica (1972), the reference CO<sub>2</sub> emissivity  $\epsilon_{\text{CO}_2, \text{ref}}$  is approximately 0.187 at a CO<sub>2</sub> concentration of 329 ppm at 600 m. At 920 ppm, the corresponding  $\epsilon_{\text{CO}_2} = 0.210$ . We made no adjustment to CO<sub>2</sub> emissivity for elevation for the Amazonia and Finland examples because the adjustments would be marginal.

### **Appendix S5. H<sub>2</sub>O-CO<sub>2</sub> overlap correction**

The H<sub>2</sub>O-CO<sub>2</sub> overlap correction is dependent on atmospheric water vapor and therefore is also sensitive to both temperature and CO<sub>2</sub> concentration. The overlap correction is a negative value, and although it is very small compared to the CO<sub>2</sub> contribution at low  $e$ , it rapidly becomes more negative with increasing  $e_s$ . As a brief example, we consider  $e_s = 15$  hPa because it corresponds approximately to a temperature of 20 °C and a relative humidity ( $RH$ ) of 65%, which is a common condition under which nocturnal cold-air drainage occurs at the Oregon site (Daly et al. 2010). Under this condition, the H<sub>2</sub>O-CO<sub>2</sub> overlap correction exceeds 50% of the CO<sub>2</sub> contribution to emissivity (Staley and Jurica 1972), and thus neglecting this correction would result in greatly overestimating the influence of CO<sub>2</sub>.

The H<sub>2</sub>O-CO<sub>2</sub> overlap correction  $\epsilon_{\text{OL}}$  was estimated as a function of atmospheric CO<sub>2</sub> concentration, and near-surface vapor pressure  $e_s$  and air temperature  $T_s$ . First,  $\epsilon_{\text{OL}}$  as a function of H<sub>2</sub>O optical depth  $\tau$  was estimated for two atmospheric CO<sub>2</sub> concentrations (329 and 920 ppm) based on tabulated values in Staley and Jurica (1970). A cubic spline was used to interpolate between tabulated values. Two curves were generated from Tables 5 and 6 in Staley and Jurica (1970): one for  $T_s = -10$  °C and one for  $T_s = 20$  °C. Figure S2 shows  $\epsilon_{\text{OL}}$  versus  $\tau$  at 20

°C and at two CO<sub>2</sub> optical depths corresponding to 329 and 920 ppm. Following Staley and Jurica (1970), we interpolated (extrapolated) between (outside) these tables for temperatures not exactly -10 or 20 °C. In this study, we went no lower than -15 °C. Above 20 °C, extrapolation errors were of little concern because “the increase in the correction with temperature is very small at higher temperatures” (Staley and Jurica 1972, p.354).

### **Appendix S6. Elevation adjustment to effective clear-sky emissivity**

Because the analytical solution for clear-sky emissivity derived by Brutsaert (1975) is precisely valid at surface elevation  $z_s = 0$ , we applied the following adjustment to  $\epsilon_B$  for the Oregon example at  $z_s = 600$  m (Prata 1996):

$$\epsilon_B(z) = \epsilon_B - 0.05 \left[ \frac{p_0 - p(z_s)}{p_0 - 710} \right] \quad (\text{S20})$$

where  $p_0 = 1013$  hPa. For the Oregon example,  $p(z_s = 600 \text{ m})$  is about 943 hPa, so the adjustment term is 0.0116. For Amazonia and Finland examples, the adjustment is only 0.004. The background emissivity  $\epsilon_0$  will also decrease with elevation so we apply a simple adjustment:  $\epsilon_0 = 0.2p(z_s)/p_0$ . For the Oregon example,  $\epsilon_0 = 0.186$ , and for the Amazonia and Finland examples,  $\epsilon_0 = 0.195$ .

Elevational adjustments to the H<sub>2</sub>O-CO<sub>2</sub> overlap correction  $\epsilon_{OL}$  are made by setting  $z_s =$  surface elevation in the H<sub>2</sub>O optical depth calculation of Eq. (S15). Adjustments to the CO<sub>2</sub> emissivity are discussed in Appendix S5.

### **Appendix S7. Relative change in $\psi$ versus reference $\psi$**

To see if the calculated large relative decreases in the cold-air drainage propensity index  $\psi$  in the anthropogenic CO<sub>2</sub> forcing scenario occurred only for those cases where  $\psi$  was initially so small as to be trivial, we compared  $-\Delta\psi/\psi$  to the reference  $\psi$  across the same range of temperature (-15 to 36 °C) and relative humidity (10 – 100%) used for Figs. 1 and 2 (Fig. S4). Over these values of temperature and relative humidity, the reference value of  $\psi$  ranges from 0.07 to 0.44. In the cases where the reference  $\psi$  is initially less than about 0.12, the decrease in  $\psi$  may be >90%. Given that values of  $\psi$  at roughly 1/3 of the maximum  $\psi$  calculated undergo

large relative decreases, we hypothesize that noteworthy relative decreases in  $\psi$  would not be limited to situations where the drainage propensity is currently negligible.

### **Appendix S8. Tropospheric lapse rates**

Lapse rates vary spatially; they trend latitudinally from about  $6.5 \text{ K km}^{-1}$  at the equator to roughly  $4 \text{ K km}^{-1}$  near the Arctic Circle, beyond which they decrease precipitously (Stone and Carlson 1979; Yang and Smith 1985), and can vary considerably within a latitude band (Mokhov and Akperov 2006). From mapped values in Mokhov and Akperov (2006), the mean annual tropospheric lapse rates at our three example sites would fall between  $6.0$  and  $6.5 \text{ K km}^{-1}$ , although the lapse rate for the Oregon and Amazonia sites would be closer to  $6.5 \text{ K km}^{-1}$  while for the Finland site it would be closer to  $6.0 \text{ K km}^{-1}$ .

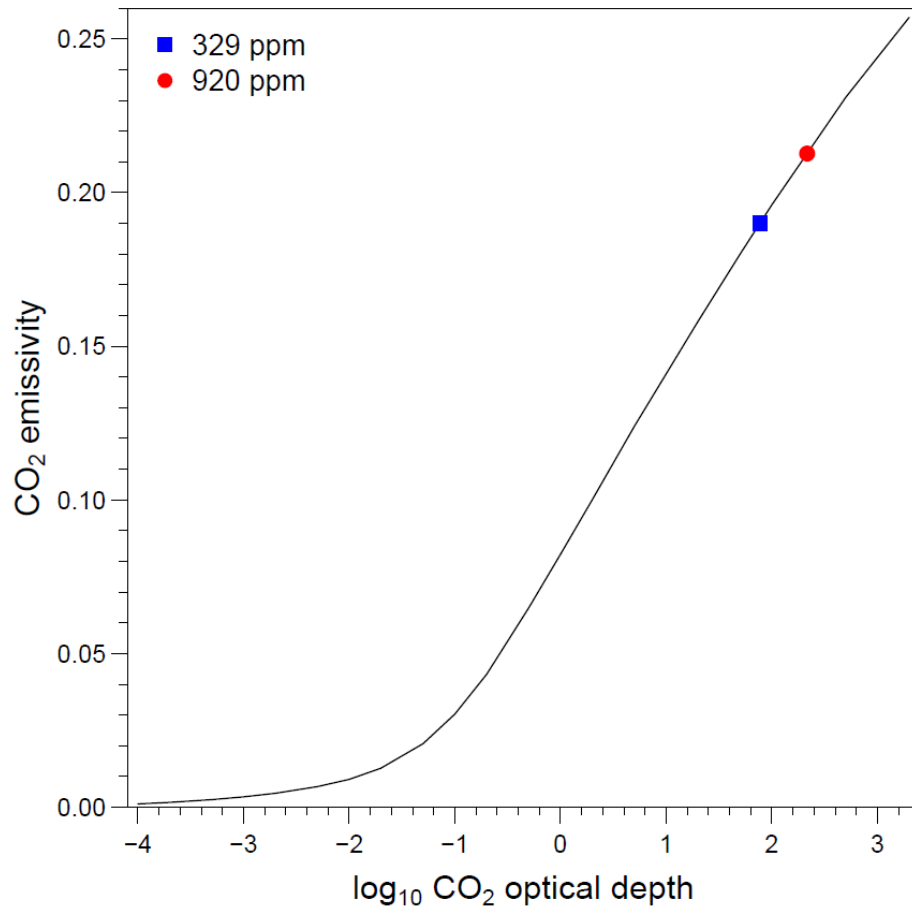
Although the lapse rates given above imply linear change in temperature with height through the troposphere, Eq. (S8) assumes an exponential decline in lapse rate with height. However, for standard values of  $\Gamma_0$  (e.g.,  $6.5 \text{ K km}^{-1}$ ), the decline is relatively small within the troposphere. For example, Eq. (S8) with  $\Gamma_0 = 6.5 \text{ K km}^{-1}$  gives a mean tropospheric lapse rate of  $5.8 \text{ K km}^{-1}$  for a tropopause height of 11 km. Because most of the water vapor is in the lowest few kilometers of the troposphere (Brutsaert 1975), however, more relevant to atmospheric emissivity is the mean lapse rate weighted by water vapor density (Eq. (S10)). This vapor-weighted mean lapse rate is  $6.2 \text{ K km}^{-1}$ , also for an 11-km high tropopause. Tropopause height ranges by roughly 10 km spatially and temporally over the globe (e.g., Seidel and Randel 2006), but the vapor-weighted mean lapse rate changes on the order of merely  $0.01 \text{ K km}^{-1}$  for several kilometers of change in tropopause height.

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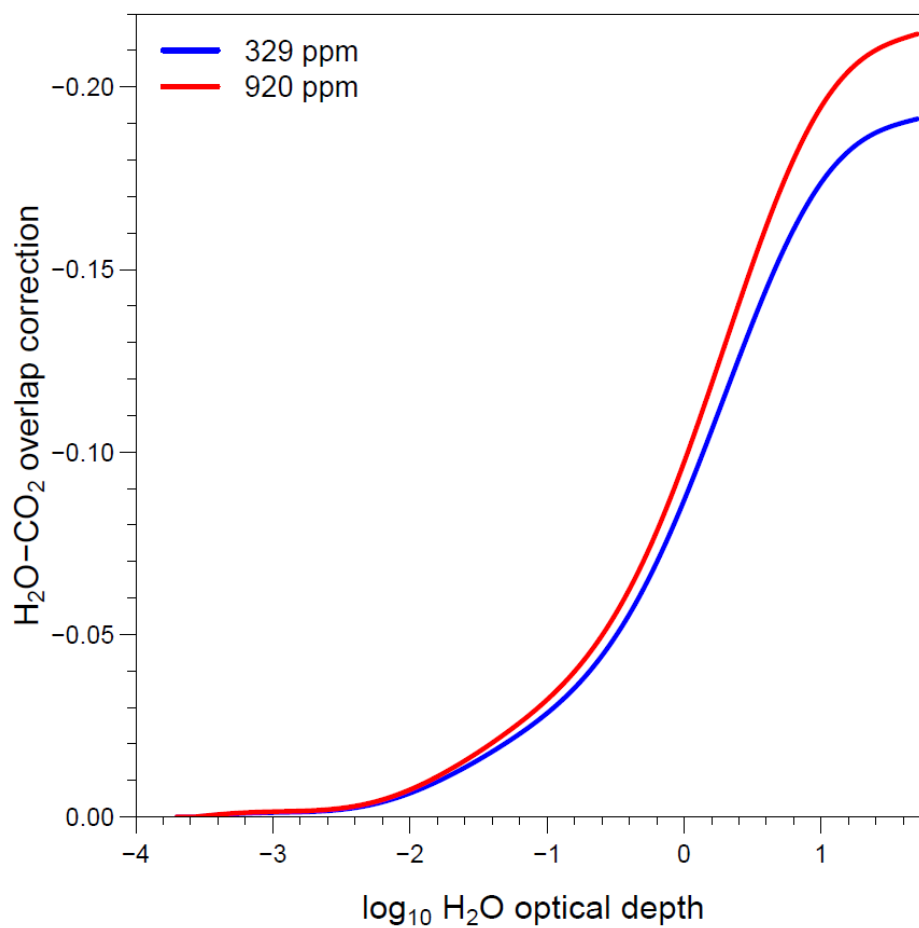
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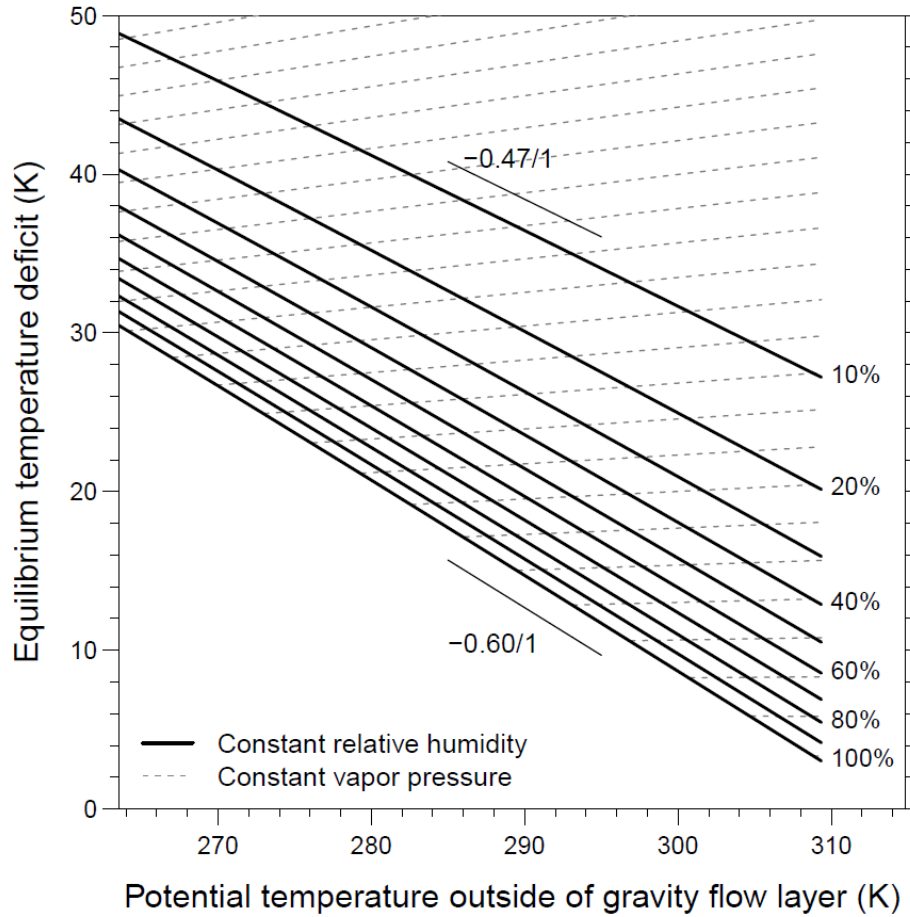




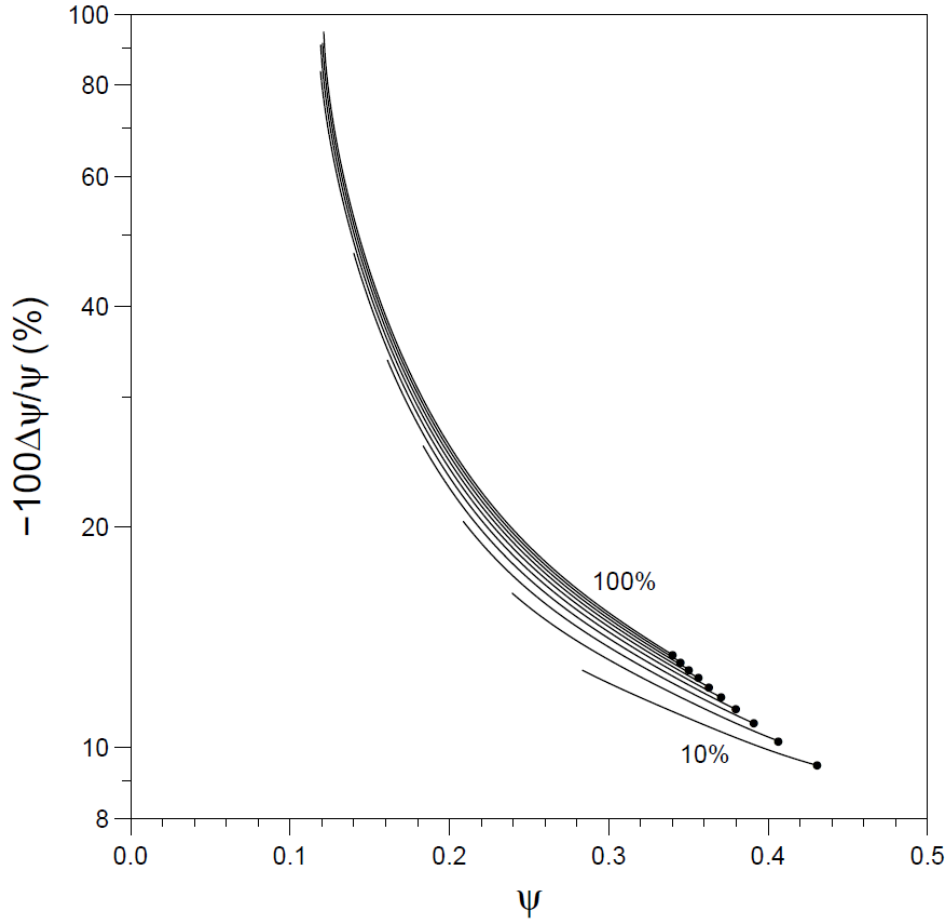
**Fig. S1.** Effective CO<sub>2</sub> emissivity as a function of the log base 10 CO<sub>2</sub> optical depth  $h$  (cm) for near-surface air temperature = 20 °C. The estimated effective CO<sub>2</sub> emissivity is shown at atmospheric CO<sub>2</sub> concentrations of 329 (blue square) and 920 (red circle) ppm. Based on data from Table 2 in Staley and Jurica (1970).



**Fig. S2.** H<sub>2</sub>O-CO<sub>2</sub> overlap correction as a function of the log base 10 H<sub>2</sub>O optical depth  $u$  (cm) at atmospheric CO<sub>2</sub> concentrations of 329 (blue line) and 920 (red line) ppm for near-surface air temperature = 20 °C.



**Fig. S3.** Equilibrium temperature deficit ( $\theta_{s,0} - T_{surf,eq}$ ) at the surface at time  $t = 0$  as a function of the potential temperature  $\theta_{s,0}$  outside of the drainage (gravity flow) layer for constant relative humidity  $RH$  (solid black lines) and constant vapor pressure  $e$  (dashed gray lines) for  $\Gamma_{ref} = 6.5 \text{ K km}^{-1}$ . The gradients (solid thin gray line segments) of the 10% (-0.47) and 100% (-0.60) constant  $RH$  lines are given to aid the reader.



**Fig. S4.** Relative decrease (%) in the propensity of cold-air drainage index ( $\psi$ ) by 2100 CE (RCP8.5) as a function of the reference  $\psi$  (circa 1970s CE). A local 6 K temperature increase and a 280% increase in  $\text{CO}_2$  concentration (920 ppm) above the circa 1970s CE reference (329 ppm) is assumed. Solid lines are contours of constant relative humidity ranging from 10% to 100% in 10% increments, increasing upward in the panel. Reference temperatures range from  $-15$  to  $36$   $^{\circ}\text{C}$  and  $\Gamma_{\text{ref}} = 6.5 \text{ K km}^{-1}$ . The solid circles at the end of each curve indicate  $\psi$  at  $-15$   $^{\circ}\text{C}$ .